

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Geoffrey Harding

Title: ELECTRON WINDOW FOR A LIQUID METAL ANODE, LIQUID METAL ANODE, X-RAY Emitter AND METHOD FOR OPERATING SUCH AN X-RAY Emitter OF THIS TYPE

Serial No.: 10/599,074

Art Unit: 2882

Filing Date: October 2, 2006

Examiner: YUN, JURIE

Docket No.: 201654

Confirmation No.: 3810

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

REFERENCES

[X]1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document (other than U.S. patents and U.S. patent application publications unless required by the Office) and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

RELATED CASES

[ ]2. Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 published in the Official Gazette Notice of October 19, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.

This paper is submitted in accordance with:

[ ]3. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]

[ ]4. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and

[ ]a. The required Certification made in item 6 below; or

[ ]b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 7 below.

[X]5. 37 CFR 1.97(d): [on or before issue fee payment]; and

a) The required Certification is stated in item 6 below; and

b) The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 7 below.

[X] 6. Certification

- [X] a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- [ ] b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

[X] 7. Payment of all applicable fees:

- Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 090470.
- Enclosed is a check in the amount of \$\_\_\_\_\_ in payment of all applicable fees associated with the submittal of this Information Disclosure Statement.

Respectfully submitted,

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